



Organismo  
Supervisor de las  
Contrataciones  
del Estado

**State Contracts Oversight Authority**

# Oversight Management

**Setiembre 2013**

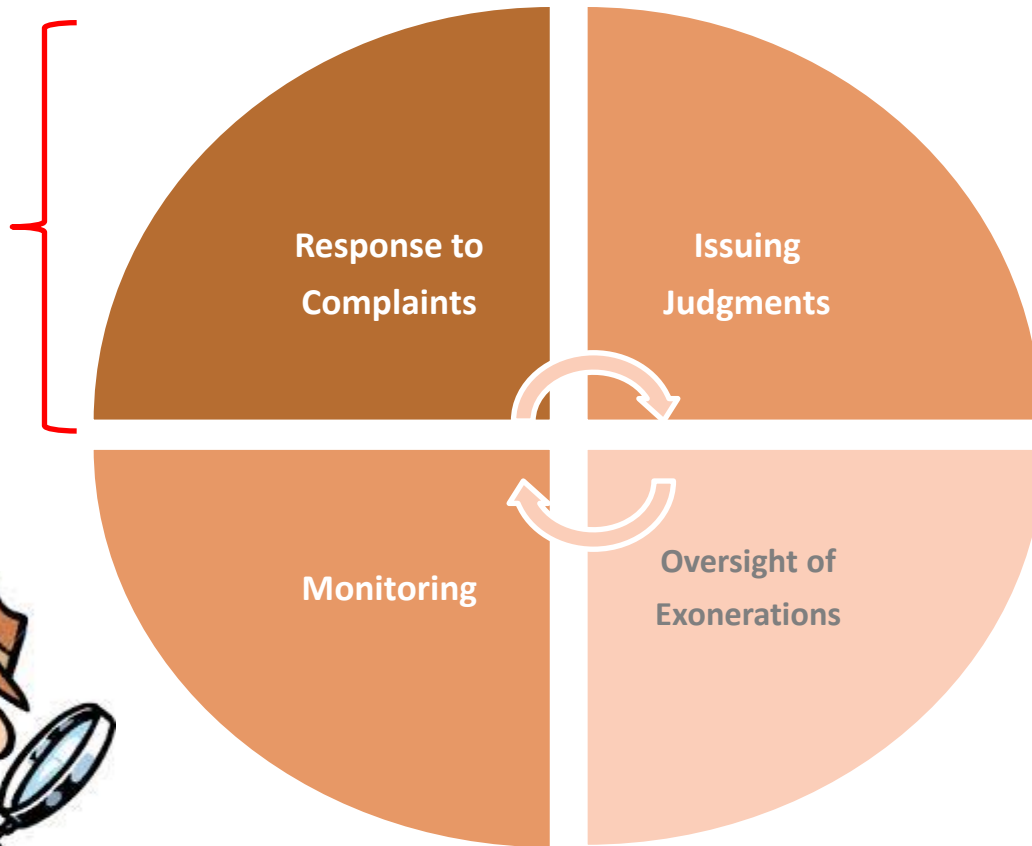
# Why do we provide oversight?

We seek to ensure that buying processes are undertaken in line with current regulations, including discretionary decisions taken by agencies, so that they fall in line with the procurement principles with the aim of **guaranteeing balance in procurement with respect to the possible unequal relationship between suppliers and agencies**, based on the transparent mechanisms of the relevant parties.



# How is oversight undertaken?

On request

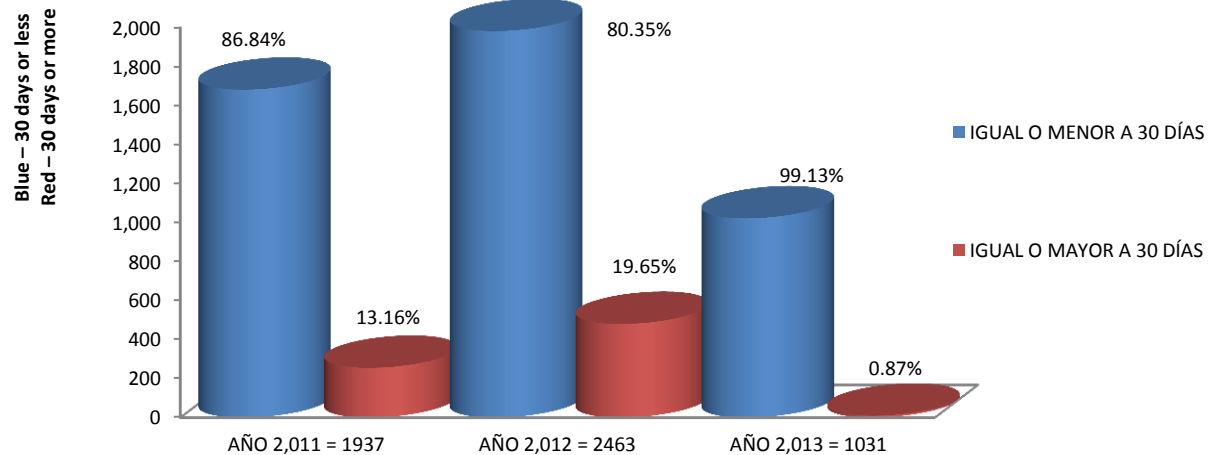


Ex Officio



# Handling of Complaints

**Complaint handling time**  
(Time limit established by law – 30 days)

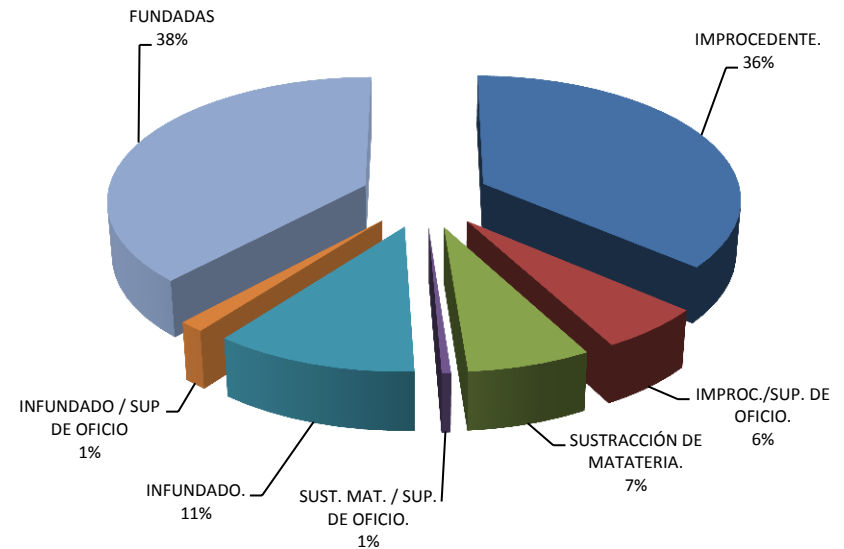


**Complaints Processed**

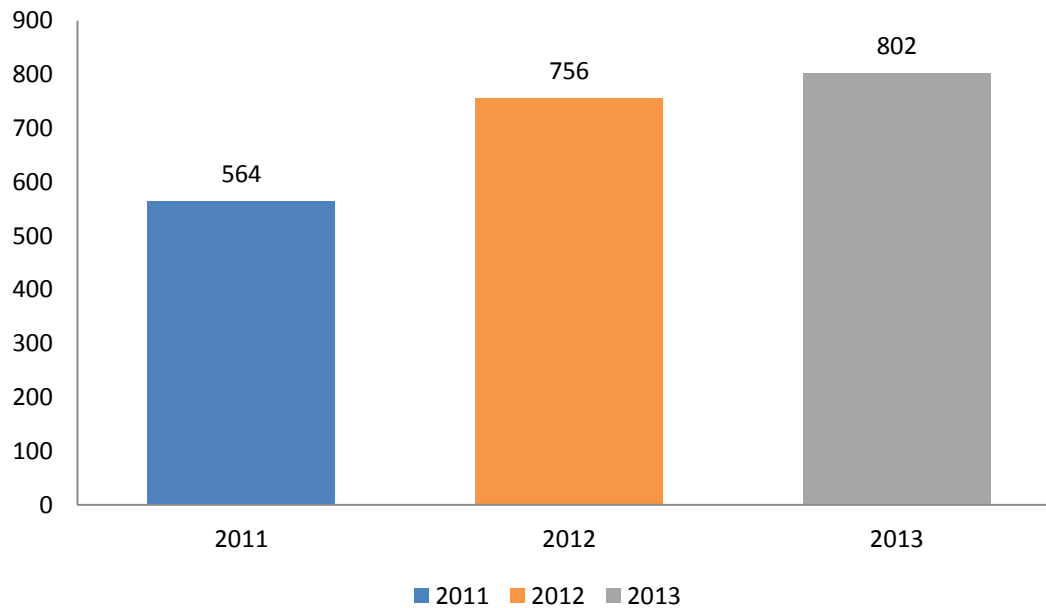
Nº	Handling time of processed complaints	30 days or less	100%	30 days or more	100%
1	2011 = 1937	1,682	86.84%	255	13.16%
2	2012 = 2468	1,983	80.35%	485	19.65%
3	2013 = 1031	1,022	99.13%	09	0.87%

**Outcome of processed complaints  
(Jan-Jun) 2013**

Nº	Outcome of Complaint	985	100%
1	INADMISSIBLE.	357	36,24%
2	INADMISSIBLE/OVERSIGHT EX OFFICIO	57	5,79%
3	COMPLAINT WITHDRAWN	67	6,80%
4	COMPLAINT WITHDRAWN/OVERSIGHT EX OFFICIO	5	0,51%
5	BASELESS	110	11,17%
6	BASELESS/OVERSIGHT EX OFFICIO	14	1,42%
7	JUSTIFIED	375	38,07%



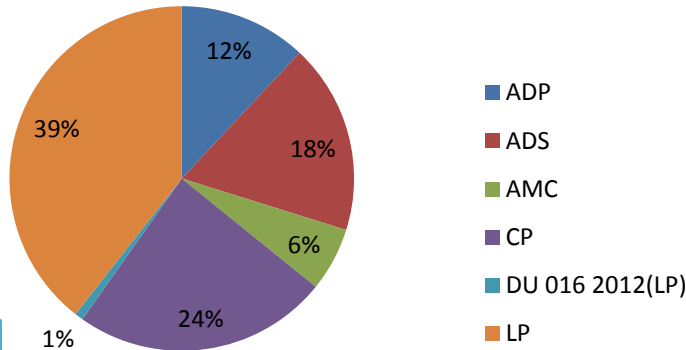
## Issuing of Judgments



Judgments issued up to 31st August 2013

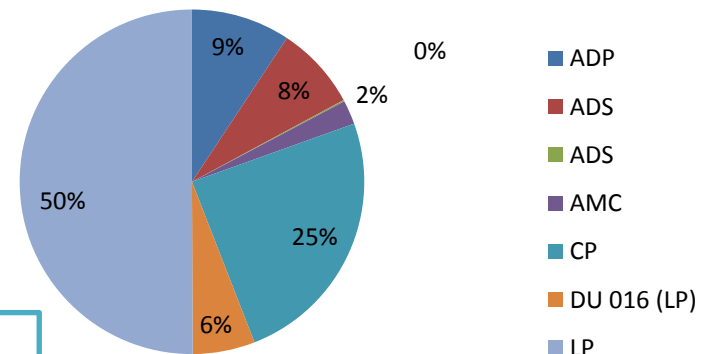
## TYPES OF SELECTION PROCESSES

**2013**



Total processes  
overseen  
802

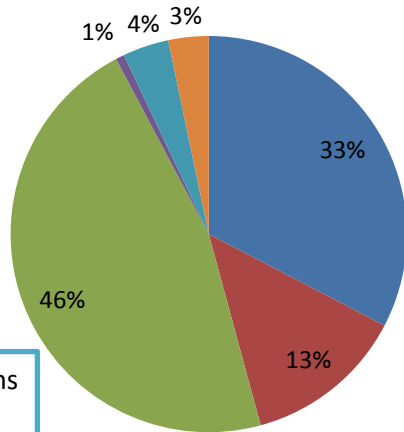
**2012**



Total processes  
overseen  
756

## Types of Institutions Overseen

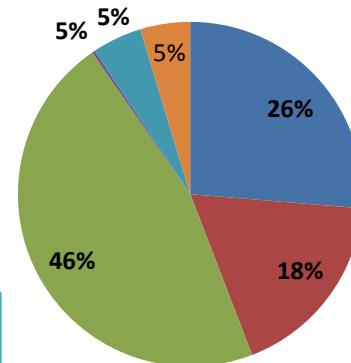
**2013**



Total Institutions  
Overseen  
426

- GOBIERNO CENTRAL
- GOBIERNO REGIONAL
- GOBIERNO LOCAL
- ORGANOS AUTONOMOS
- EMPRESAS DE FONAFE
- OTROS

**2012**



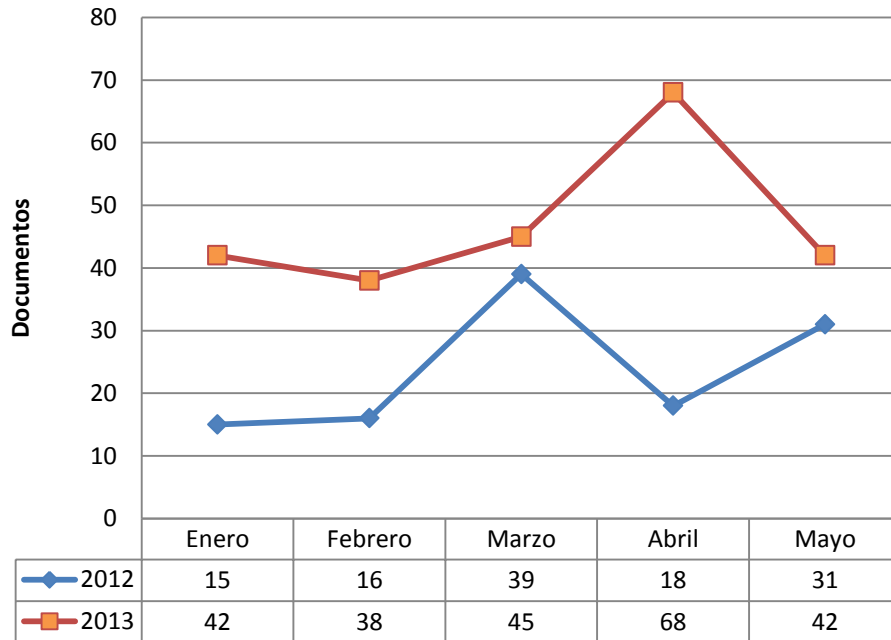
Total Institutions  
Overseen  
342

- GOBIERNO CENTRAL
- GOBIERNO REGIONAL
- GOBIERNO LOCAL
- ORGANOS AUTONOMOS
- EMPRESAS DE FONAFE
- OTROS

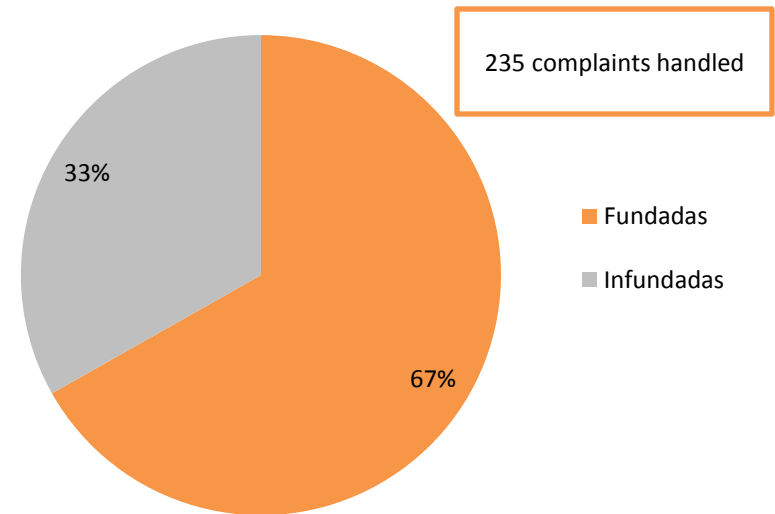


## Reports of Incorrect Integration

### Complaints of Incorrect Database Integration 2012 vs. 2013



### Complaints of Incorrect Database Integration 2013

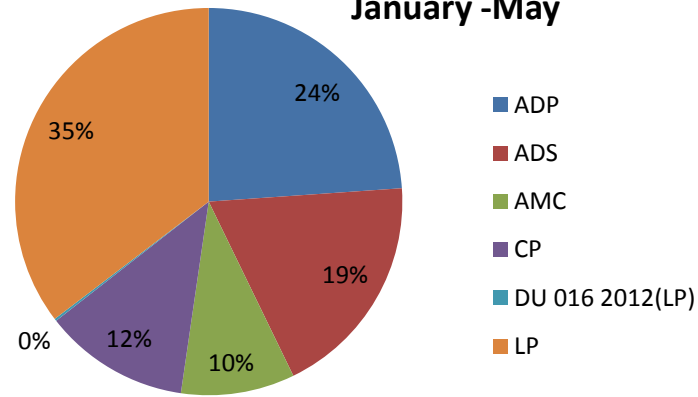


## Monitoring

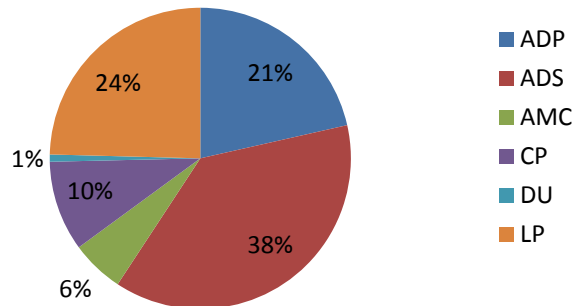
### Types of Selection Process

**2013**

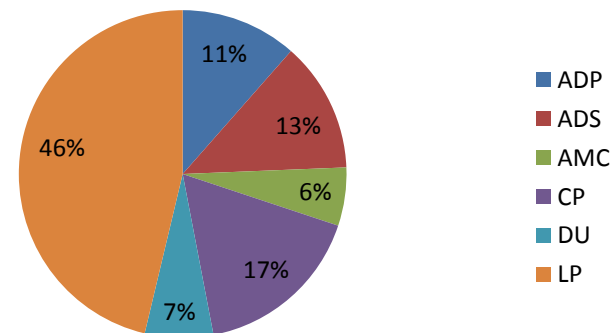
January -May



**2011**

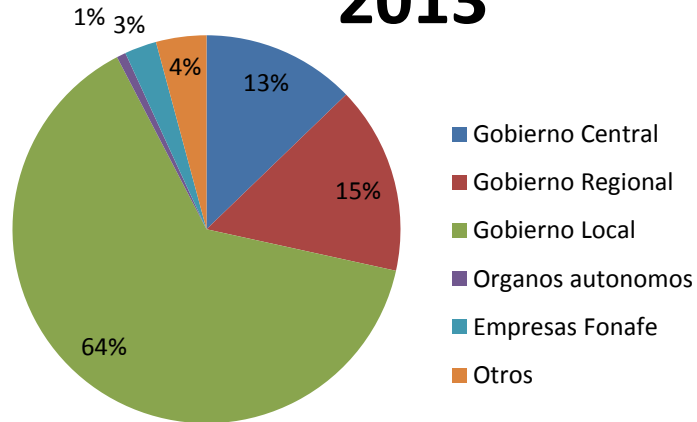


**2012**

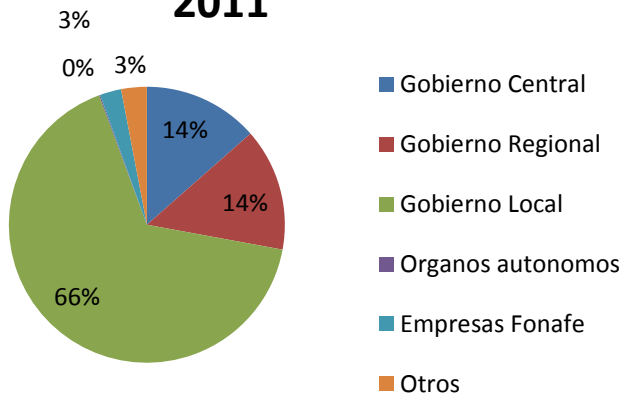


## TYPES OF INSTITUTIONS OVERSEEN

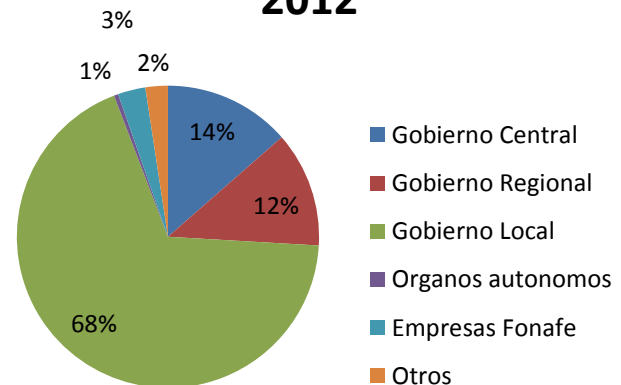
### 2013



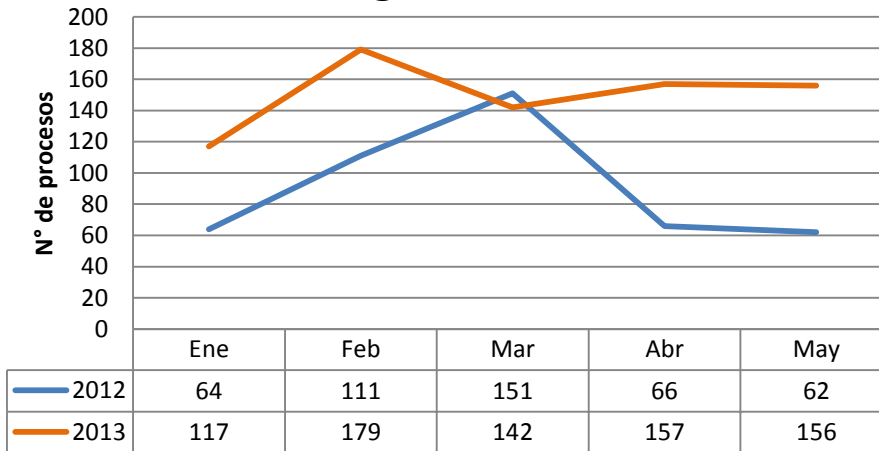
### 2011



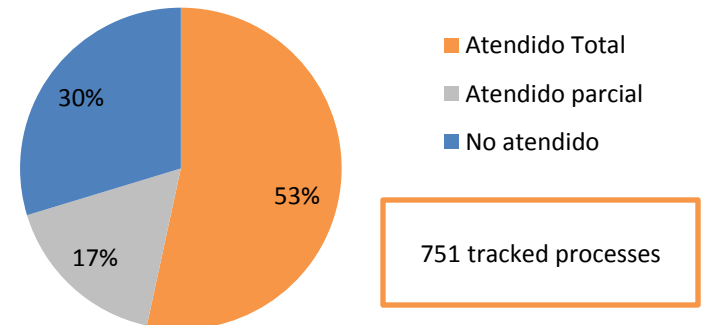
### 2012



## Tracking 2012 vs 2013



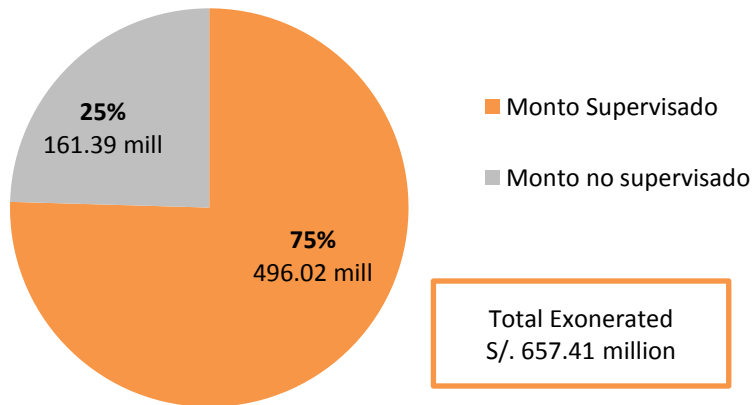
## Outcome of Tracking Processes 2013



## Exonerations

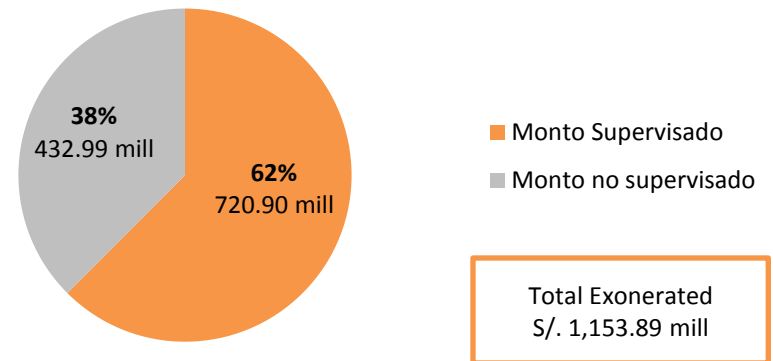
### 2013

(Jan – May)



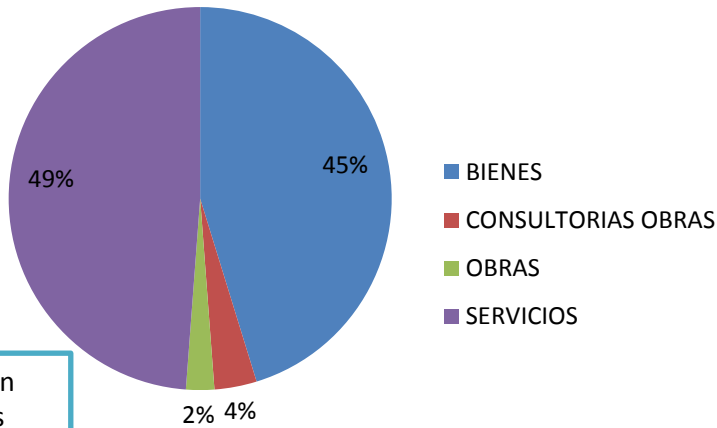
In 2013, S/. 46.94 mill has been overseen corresponding to registered exonerations in previous years (2010, 2011 & 2012).

### 2012



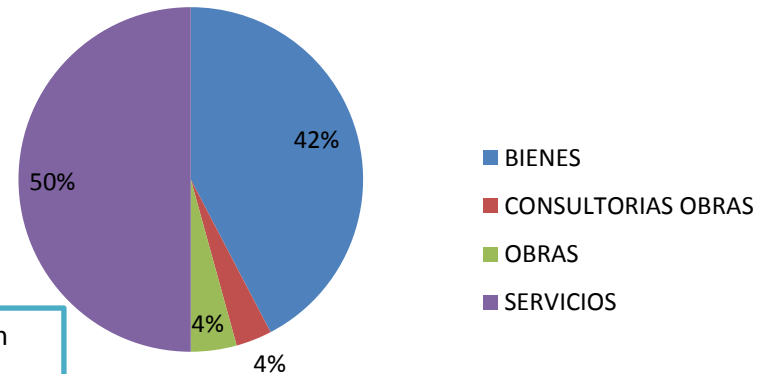
## PER PROCUREMENT ITEM

### 2013



Total overseen  
exonerations  
168

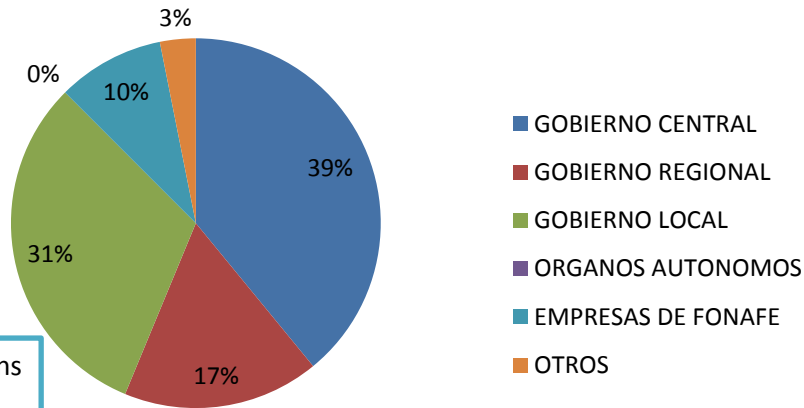
### 2012



Total overseen  
exonerations  
326

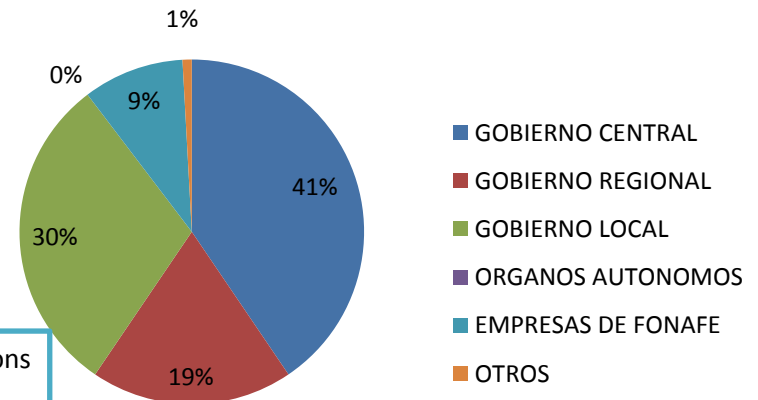
## TYPES OF INSTITUTION OVERSEEN

### 2013



Total Institutions  
Overseen  
64

### 2012



Total Institutions  
Overseen  
116

# Strengthening of Oversight Function



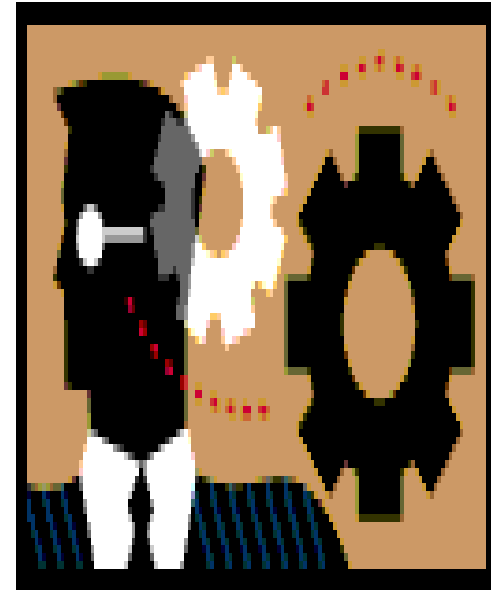
- 1. Change in regulation (law 29873 – DS No. 138-2013-EF)**
- 2. New challenges: increase in functions and empowerment of the Oversight Body**
- 3. Management orientated towards constructive and effective oversight.**
- 4. Emphasis on continued improvement.**





## Premise:

- Control and oversight does not generate added value in procurement.
- Linked solely to apportionment of responsibilities.



## Proposal:

**It must be concurrent**

# How is the new focus implemented?



Sample



Checking of process

Electronic notification

Database Integration

Tracking

# Advantage of concurrent oversight

On request

← 10 or 22 days at most →



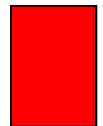
Call for Tender

Presentation of Proposals

Award Contract



By Law



**Critical Points**

Call for Tender

Presentation of Proposals

Award Contract

# Transparency of Preparatory Acts

## New format of the executive summary

FORM <sup>0</sup> EXECUTIVE SUMMARY OF THE STUDY OF POSSIBILITIES OFFERED BY THE MARKET (GOODS/SERVICES)								
2. INFORMATION ABOUT THE REQUEST								
2.1	<b>REQUEST INFORMATION</b>	Request Document		Date of Receipt				
2.2	<b>MODIFICATIONS MADE TO THE REQUEST BY THE USER OFFICE</b>	Date of the second version		Ex officio		Observations		
		Date of the third version		Ex officio		Observations		
		Date of the fourth version		Ex officio		Observations		
		Date of the fifth version		Ex officio		Observations		
2.3	<b>INDICATE IF THE PROCUREMENT INCLUDES PACKAGES</b>	YES		NO				
		Detail the technical substance of the user office or the body responsible for the procurement as applicable						
2.4	<b>INDICATE IF THE PROCUREMENT IS MADE BY ITEM</b>	YES		NO				
2.5	<b>INDICATE IF A STANDARDISATION PROCESS HAS BEEN CARRIED OUT</b>	YES		NO				
		Standardisation approval document			Approval date			
2.6	<b>MINIMUM TECHNICAL REQUIREMENTS AND PROCUREMENT CONDITIONS</b>	Those indicated in Chapter III of the specific database section						
2.7	<b>REQUEST OBSERVATIONS</b>							
	Nº Item	Total number of observations	Number of observations made by the OEC	Communication by which the user office passed their observations to the request	Reference date of the communication	Number of observations made by the suppliers	Communication by which the request observations were passed to the user office	Reference date of the communication
	<i>Assign a summary of the observation responses</i>							
2.8	<b>REPLY OF USER OFFICE</b>							
	Nº Item	Total number of replies to observations	Number of replies to observations made by the OEC	Response communication from the user office	Reference date of the communication	Number of replies to the observations made by the suppliers	Reponse communication of the user office	Reference date of the communication
	<i>Assign a summary of the observation responses</i>							
2.9	<b>ADJUSTMENTS MADE TO THE REQUEST</b>							
	Nº Item	Adjustments made to the request						

Valid from 1st January 2014

# Transparency of Preparatory Acts

## New format of the executive summary

FORM EXECUTIVE SUMMARY OF THE STUDY OF POSSIBILITIES OFFERED BY THE MARKET (GOODS/SERVICES)					
3. INFORMATION ABOUT SETTING THE REFERENTIAL VALUE					
3.1	<b>POSSIBILITY OF USING MORE THAN ONE SOURCE</b>	YES		NO	
	<i>If there is no possibility of using more than one source, please say why.</i>				
<b>3.2 SOURCES</b>					
<b>3.2.1 ESTIMATES</b>					
	This source was used	YES In line with the comparative box on this form		NO	
<b>3.2.2 ORGANISATION'S HISTORICAL PRICES</b>					
	This source was used	YES In line with the comparative box on this form		NO	
<b>3.2.3 COST STRUCTURE</b>					
	This source was used	YES In line with the comparative box on this form		NO	
<b>FROM THE SUPPLIER</b>					
	Nº Item	Cost structure Detail			
<b>DE LA ENTIDAD</b>					
	Nº Item	Cost structure Detail			
<b>3.2.4 OTHER SOURCE(S)</b>					
	This source was used	YES In line with the comparative box on this form		NO	
<b>3.2.5 OTRA(S) FUENTE(S)</b>					
	Other source(s) were used	YES In line with the comparative box on this form		NO	
<i>Indicate other source(s), eg websites, catalogues, magazines</i>					
3.3	<b>OTHER ASPECTS CONSIDERED IN THE STUDY</b>	Level of commercialisation			<i>Details of the considered items</i>
		Discount by volume			<i>Details of the considered items</i>
		Offered improvements			<i>Details of the considered items</i>
		Immediate availability			<i>Details of the considered items</i>
		Guarantees			<i>Details of the considered items</i>
		Additional benefits			<i>Details of the considered items</i>
		Others	<i>Indicate other aspects</i>		
<i>Indicate other aspects</i>					
3.4	<b>REFERENTIAL VALUE</b>	CURRENCY	Nuevos Soles	Dollars	Other: <i>Indicate other currency</i>
		AMOUNT			




# Transparency of Preparatory Acts

## New format of the executive summary

FORM				
EXECUTIVE SUMMARY OF THE STUDY OF POSSIBILITIES OFFERED BY THE MARKET (GOODS/SERVICES)				
<b>4. ADDITIONAL RELEVANT INFORMATION ABOUT THE STUDY OF POSSIBILITIES OFFERED BY THE MARKET</b>				
<b>4,1</b>	<b>START DATE OF THE STUDY OF POSSIBILITIES OFFERED BY THE MARKET</b>		<b>END DATE OF THE STUDY OF POSSIBILITIES OFFERED BY THE MARKET</b>	
<b>4,2</b>	<b>PLURALITY OF SUPPLIERS WHO FULFILL THE REQUIREMENT</b>	YES		NO
<i>If 'no', indicate the organisation's evaluation with respect to the lack of plurality of suppliers</i>				
<b>4,3</b>	<b>PLURALITY OF PRODUCTS (BRANDS) WHO FULFILL THE REQUIREMENT (only in the case of goods)</b>	YES		NO
<i>If 'no', indicate the organisation's evaluation with respect to the lack of plurality of products</i>				
<b>4,4</b>	<b>POSSIBILITY OF AWARDING THE CONTRACT</b>	YES		NO
<i>If 'yes', back up the possibility of awarding the contract</i>				
<b>4,5</b>	<b>ON THE INFORMATION THAT CAN BE USED FOR SETTING THE EVALUATION FACTORS</b>	YES		NO
<i>If 'yes', detail the information that can be used to set the evaluation factors</i>				
<b>4,6</b>	<b>ON OTHER NECESSARY ASPECTS RELEVANT TO THE EFFICIENCY OF PROCUREMENT</b>	YES		NO
<i>If 'yes', give details</i>				
<b>NAME, SIGNATURE AND STAMP OF THE CIVIL SERVANT RESPONSIBLE IN THE PROCUREMENT ORGANISATION</b>				

**4 INSTRUMENTO QUE APRUEBA O AUTORIZA LA REALIZACION DEL PROCESO,**  
MEMORANDO N° 626-2013/LOG,, informado el día 31/07/2013 14:26

**5 RESUMEN EJECUTIVO DE POSIBILIDADES QUE OFRECE EL MERCADO,,,** informado el día 31/07/2013 14:26

Bases	Resumen Ejecutivo	Absolución de Consultas y Observaciones	Absolución Consultas	Absolución Observaciones	Pronuncia. Entidad	Pronuncia. OSCE	Bases Integradas	Acta de Evaluación Técnica	Cuadro Comparativo y/o Actas	Acta Buena Pro	Notificación Suscripción de Acuerdo	Resolución de Recurso de Apelación de la Entidad	Resolución de Recurso de Apelación del Tribunal
 31/07/2013 14:26 Tamaño 540 Kb.	 31/07/2013 14:26 Tamaño 269 Kb.		 19/08/2013 19:15 Tamaño 18,259 Kb.										

Item	Descripción	Familia Catálogo	Cantidad	Unidad de Medida	Valor Referencial	Plazo(s) de entrega	Garantía de seriedad de oferta	Beneficio de la ley 27633	MYPE	Cantidad Adjudicada	Monto Adjudicado
<b>1</b>	ADQUISICION DE UNA SOLUCION TECNOLÓGICA DE SERVIDORES CONSOLIDADOS Y SOFTWARE DE VIRTUALIZACION	A432332	1.00	unidad	2,463,673.00	60 DIAS					

Total de registros encontrados : 1

Páginas : 1

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